



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David H. Stark

Serial No.: 10/766,493

Filed: January 27, 2004

Group: To Be Assigned

Examiner: To Be Assigned

For: HERMETIC WINDOW ASSEMBLIES AND FRAMES

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 26, 2004
(Date of Deposit)
JOHN J. ARNOTT (39,095)
(Name of Person Mailing Document)
John J. Arnott
(Signature)
4/26/04
(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application. A copy of each of the Non-Patent Literature Documents listed is enclosed herewith.

Applicant requests that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

REMARKS

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to

INFORMATION DISCLOSURE STATEMENT

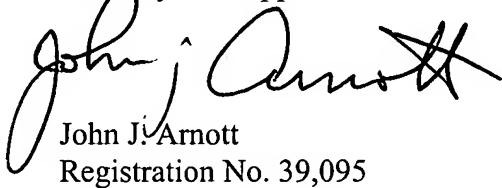
Serial No. 10/766,493

constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicant respectfully submits that his invention is patentable over the enclosed documents listed on Form PTO SB/08.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/STRK-26,581 of HOWISON & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON & ARNOTT, L.L.P.
Attorneys for Applicant



John J. Arnott
Registration No. 39,095

JJA/yoc

P.O. Box 741715
Dallas, Texas 75374-1715
Tel. (972) 479-0462
Fax. (972) 479-0464
April 26, 2004



APR 30 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

3

Complete if Known

Application Number	10/766,493
Filing Date	January 27, 2004
First Named Inventor	David H. Stark
Art Unit	To Be Assigned
Examiner Name	To Be Assigned

Attorney Docket Number

STRK-26,581

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
01	US- 3,698,878		10/17/72	Hale et al.	
02	US- 5,118,924		06/02/92	Mehra et al.	
03	US- 5,302,414		04/12/94	Alkhimov et al.	
04	US- 5,423,119		06/13/95	Yang	
05	US- 6,139,913		10/31/00	Van Steenkiste et al	
06	US- 6,191,359		02/20/01	Sengupta et al.	
07	US- 6,627,814		09/30/03	Stark	
08	US- 6,537,121		03/25/03	Baret	
09	US- 6,548,895		04/15/03	Benavides et al.	
10	US- 4,355,323		10/19/82	Hendrikus Kock	
11	US- 5,945,721		08/31/99	Nobuyoshi Tatoh	
12	US- 5,949,655		09/07/99	Thomas Glenn	
13	US- 6,020,628		02/01/00	Brian Mravic et al.	
14	US- 5,625,222		04/1997	Yoneda et al.	
15	US-				
16	US-				
17	US-				
18	US-				
19	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner Signature _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



APR 30 2004

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

2

of

3

Application Number	10/766,493
Filing Date	January 27, 2004
First Named Inventor	David H. Stark
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	STRK-26,581

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	200	DORON TEOMIM, AVNER BADIHI, GIL ZILBER; "An innovative approach to wafer-level MEMS packaging"; Solid State Technology (Magazine); January 2002; Penwell (Publ.); Nashua, NH USA.	
	201	Carpenter Specialty Alloys: Controlled-Expansion Alloys (Catalog/Brochure); December 1999; Pages 1-24 (esp. 5-8); Carpenter Technology Corporation (Publ.); Wyomissing, PA USA.	
	202	JPL Technology Reporting Office; "Hermetic Wafer Bonding By Use of Microwave Heating"; NASA Tech Brief, Vol. 25, No. 5, from JPL New Technology Report NPO-20608 (NASA Contract No. NAS-7-918); May 1, 2001; Jet Propulsion Laboratory, California Institute of Technology (Publ.); Pasadena, CA, USA; including therein:	
	203	NTR Inventors Report by HENRY W JACKSON, JOHN D MAI, MARTIN B BARMATZ, NASSER K BUDRAA, WILLIAM T PIKE; NASA Case No. 0205 20608; March 1997(?) (Best Available Copy); including therein: (same authors) "Low Pressure and Low Temperature Hermetic Wafer Bonding Using Microwave Heating"; Jet Propulsion Laboratory, California Institute of Technology; Pasadena, CA, USA (Best Available Copy)	
	204	GEORGE S. BRADEY, ET AL., Materials Handbook, 12th Edition; 1986; pp 28-29; McGraw-Hill Book Company; New York.	
	205	R.C. DYKHUIZEN ET AL.; Gas Dynamic Principles of Cold Spray; Journal of Thermal Spray Technology; Vol. 7(2); pp 205-212; June, 1998.	
	206	KAZAKOV, N.F. (ed.); Diffusion Bonding of Materials; 1981; pp 4-9, 248-257; Pergamon Press; U. S. A.	
	207	NICHOLS, M.G. & LEE, R. J.; Joining Dissimilar Materials, Metals and Materials, The Journal of the Institute of Metals, Vol. 5, No. 6, June 1989; UK.	
	208	CERJAK, H. (ed.); Mathematical Modelling of Weld Phenomena 5; Diffusion Bonding of Glass to Metal in an Electrostatic Field; M. Morsy et al.; pp 945-959; London IOM Communications, 2001.	
		OSTYN, K. & VINCKIER, A.; Joining of Different Materials Through Interfaces; Interfaces in Materials, Proceedings of the Colloquium; pp. 153-173; Brussels, 12/1988.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/766,493
				Filing Date	January 27, 2004
				First Named Inventor	David H. Stark
				Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
Sheet	3	of	3	Attorney Docket Number	STRK-26,581

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	209	ARATA ET AL. Pressure and Field Assisted Bonding of Glass to Aluminum; Transactions of JWRI is published by Welding Research Institute of Osaka University; Vol. 13; No. 1; 1984; pp 35-40.		
	210	SADPYSKY ET AL.; Precision Welding of Glass to Kovar Without Melting; Svarochnoe Proizvodstvo; February 1973; pp 22; (In Russian, English translation provided).		✓
	211	KAZAKOV ET AL.; Equipment for Diffusion Welding of Rectangular Glass Plates to Kovar; Svarochnoe Proizvodstvo; June 1977; p 50; (In Russian, English translation provided).		✓
	212	PCT; International Search Report of PCT/US03/07553 (related application); International Publication No. WO 03/083938 A1; 16 June 2003; 2 pg.		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.